

STC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Inventors: Yves AUDEBERT, et al.

Art Unit: 2131

Application No.: 10/085,127

Filed: March 1, 2002

For: METHOD AND SYSTEM FOR PERFORMING POST ISSUANCE
CONFIGURATION AND DATA CHANGES TO A PERSONAL
SECURITY DEVICE USING A COMMUNICATIONS PIPE

NOTICE OF CO-PENDING RELATED APPLICATIONS
PURSUANT TO 37 CFR \$1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Pursuant to 37 CFR \$1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:

(1) 09/844,246

(2) 09/844,439

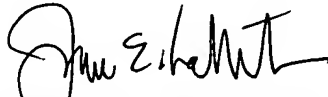
(3) 10/476,416

(4) 10/476,316

It is requested that the prior art references from each application be reviewed by the examiner and made of record in each of the other applications. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making

the art currently of record in each of the above-listed cases also
of record in the present application.

Respectfully submitted,



James E. Ledbetter
Registration No. 28,732

Date: January 13, 2005

JEL/ejw

ATTORNEY DOCKET NO. L741.02102
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FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

L741.02102

10/085,127

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Yves AUDEBERT, et al.

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2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLAS S	SUBCL ASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLAS S	SUBCL ASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Naomaru ITOI, et al., "Secure Internet Smartcards," CITI Technical Report 00-6, Program in Standard Technology, <http://www.citi.umich.edu/projects/smartcard/>, Center for Information Technology Integration, University of Michigan, ppgs. 1-12, 24 Aug. 2000.

EXAMINER

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	6	1	0	1	2	5	4		08/2000	Thiriet	✓		
	6	1	3	1	8	1	1		10/2000	Gangi	✓		
	6	0	0	5	9	4	2		12/1999	Chan et al.	✓		

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•	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. ✓
•	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. ✓
•	Global Platform, Multi Application— Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ✓
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
•	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1. ✓
•	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. ✓
•	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. ✓
•	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. ✓
•	Chen, Zhiquan et al., "Understanding Java Card 2.0, " Javaworld, March 1998. ✓
•	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000. ✓

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
.	A	US-6,105,008	08-2000	Davis et al. ✓	705/41
.	B	US-5,455,863	10-1995	Brown et al. ✓	380/247
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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	P					
	Q					
	R					
	S					
	T					

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	C 1 0 1 2 5 4	08/2000	Thiriet			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	5 9 9 1 4 0 7	11/1999	Murto	✓		

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A		US-6,694,436 B1	02-2004	Audebert, Yves ✓	713/200
B		US-2001/0039587 A1	11-2001	UHLER et al. ✓	709/229
C		US-5,499,297 A	03-1996	Boebert, William E. ✓	713/159
D		US-			
E		US-			
F		US-			
G		US-			
H		US-			
I		US-			
J		US-			
K		US-			
L		US-			
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International Search Report dated August 23, 2002.

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B US-2001/0039587 A1	11-2001	UHLER et al. ✓	709/229
C US-6,481,632 B2	11-2002	Wentker et al. ✓	235/492
D US-5,499,297 A	03-1996	Boebert, William E. ✓	713/159
E US-5,276,735 A	01-1994	Boebert et al. ✓	713/167
F US-			
G US-			
H US-			
I US-			
J US-			
K US-			
L US-			
M US-			

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	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 0 0 5 9 4 2	12/1999	Chan et al.			

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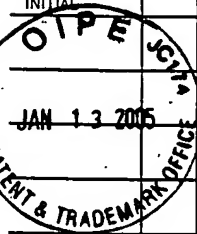
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	6 0 1 8 7 7 9	01/2000	Blumenau	✓		
	6 1 2 8 3 3 8	10/2000	Behaghel et al.	✓		

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
0 9 2 3 2 1 1	06/1999	EP	✓			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report dated April 23, 2003.	✓
	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2: (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification.	✓
	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021.	✓

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	6	0	0	5	9	4	2		12/1999	Chan et al.			
	5	9	9	1	4	0	7		11/1999	Murto			
	6	2	7	9	0	4	7		08/2001	Bublitz et al.			

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		9	8	5	2	1	6	1	11/1998	WO				
		0	1	5	9	7	3	0	08/2001	WO				
		0	9	1	1	7	7	2	04/1999	EP				
	1	9	5	2	2	5	2	7	01/1997	DE				

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	5 7 7 8 0 7 1	07/1998	Caputo et al.			
	2002 0 2 5 0 4 6	02/2002	LIN			
	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			

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0 1 2 2 3 7 3	03/2001	WO				
0 1 1 6 9 0 0	03/2001	WO				
9 9 6 2 2 1 0	12/1999	WO				

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<input checked="" type="checkbox"/>	T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.
<input checked="" type="checkbox"/>	International Search Report dated August 27, 2002.
<input checked="" type="checkbox"/>	International Search Report dated September 3, 2002.
	P. TROMMLER, et al.: "Smart Cards and the OpenCard Framework," Java World, January, 1998, XP002173639, pp. 1-12.

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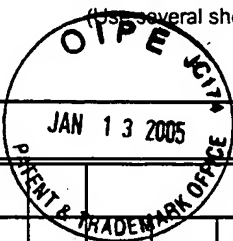
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	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			
	5 7 7 8 0 7 1	07/1998	Caputo et al.			
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9 9 6 2 2 1 0	12/1999	WO			
0 1 2 2 3 7 3	03/2001	WO			
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APPLICANT

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T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.

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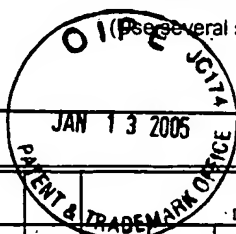
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
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														YES	NO
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	✓		0	9	1	1	7	7	2	04/1999	EP				
	✓	1	9	5	2	2	5	2	7	01/1997	DE				

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